

Undertaking – Registered Person (all categories)
(under the Trading Participant's letterhead)

....., 20.....

To:

Bursa Malaysia Derivatives Berhad ("the Exchange")

In consideration of the Exchange approving the application for my registration with the Exchange as a [*specify category of Registered Person*] in accordance with the Rules of Bursa Malaysia Derivatives Berhad ("Rules") and the Directives (as defined in the Rules) (collectively, "Exchange's Requirements" and includes all amendments and supplements to the Rules and Directives), I hereby irrevocably and unconditionally undertake and agree as follows:-

- (1) that I satisfy the qualification criteria for a [*specify category of Registered Person*] set out in the Rules;
- (2) that the information and documents accompanying the application for registration as [*specify category of Registered Person*] are complete and accurate;
- (3) that the Exchange may obtain from or provide to any regulatory authority (whether in or out of Malaysia) any information directly or indirectly relating to me for any purpose relating to or in connection with discharging any function of the Exchange or that regulatory authority;
- (4) to notify the Exchange immediately in writing of any changes in the information given together with the application for my registration as a [*specify category of Registered Person*];
- (5) that until and unless I have been duly registered by the Exchange as a [*specify category of Registered Person*], I shall not to act in any manner for and on behalf of [*name of Trading Participant*];
- (6) to abide by the decision of the Exchange in relation to the application for my registration as a [*specify category of Registered Person*] of [*name of Trading Participant*];
- (7) to be bound in all respects by and to comply with the Exchange's Requirements in so far as they apply directly or indirectly to a [*specify category of Registered Person*];
- (8) to indemnify and keep the Exchange fully indemnified from and against all losses, damages, costs, expenses, actions and claims or otherwise which the Exchange may incur arising out of any act or omission on my part or failure by me to comply with any provisions in the Exchange's Requirements; and
- (9) notwithstanding my ceasing to be registered as a [*specify category of Registered Person*] with the Exchange for any reason whatsoever, to continue to be bound by the Exchange's Requirements in so far as they may relate to any act or omission that I may, in the opinion of the Exchange, have committed or omitted to do during the period of my registration with the Exchange.

I acknowledge that:

- (a) the approval of the application for my registration as a [*specify category of Registered Person*] with the Exchange; or
- (b) any indulgence or delay in making any demand or instituting any action by the Exchange,

will not constitute or be deemed to operate as a waiver by the Exchange of any of its rights under this undertaking or under the Exchange's Requirements.

